

OHIO DEPARTMENT OF JOB AND FAMILY SERVICES  
**COMPREHENSIVE ASSESSMENT PLANNING MODEL - I.S.**  
**FAMILY ASSESSMENT**

<b>CASE NAME:</b> Johnston		<b>DATE OF REPORT(S):</b> 10/30/2016 and 11/06/2016
<b>CASE NUMBER:</b> 1234567	<b>AGENCY:</b> Mock County Children Services	<b>CASEWORKER NAME:</b> Smith, Jane

### Section 1: Identifying Information

List each child in the family from oldest to youngest, his/her date of birth and age. List parent(s) or caretaker(s) and other adults in the family and their relationship to the child(ren). Identify the Primary (P) and Secondary (S) Caregiver.

#### Children in the Family

NAME	ROLE	DATE OF BIRTH	AGE
Johnston, Nikki	Alleged Child Victim	08/08/08	8
Johnston, Jan	Alleged Child Victim	06/03/09	7
Johnston, Allie	Alleged Child Victim	02/11/11	5
Fowler, Mark	Alleged Child Victim	03/09/13	3
Johnston, Sammi	Alleged Child Victim	08/31/14	2
Fowler, Naya	Alleged Child Victim	02/16/16	0

#### Adults in the Family

PRIMARY OR SECONDAR	NAME	ROLE	RELATIONSHIP TO CHILD(REN)
Primary	Johnston, Alicia	Alleged Perpetrator	Biological Mother (Johnston, Nikki)
			Biological Mother (Johnston, Jan)
			Biological Mother (Johnston, Allie)
			Biological Mother (Fowler, Mark)
			Biological Mother (Johnston, Sammi)
			Biological Mother (Fowler, Naya)

Primary	Fowler, Robert	Alleged Perpetrator	Non-Related Adult (Johnston, Nikki)
			Non-Related Adult (Johnston, Jan)
			Non-Related Adult (Johnston, Allie)
			Biological Father (Fowler, Mark)
			Non-Related Adult (Johnston, Sammi)
			Biological Father (Fowler, Naya)

## Section 2: Safety Re-Assessment

### A. Safety Review

Check and complete whichever case circumstance applies:

- ☐ 1. A safety threat is not currently active:
- a. Describe new information obtained regarding protective capacities. Include information concerning any adult not interviewed for the safety assessment.

- b. Describe new information obtained regarding child vulnerability. Include information concerning any child not interviewed for the safety assessment.

- ☐ 2. If a safety threat is not currently active, but had been active at any time since the last assessment of safety was completed:

- a. Describe the safety threat and then summarize the information that demonstrates safety threat resolution, sufficient safety threat reduction and/or adequate protective capacities necessary to protect the child(ren) from serious harm.

- ☒ 3. If a safety threat is active now:

- a. Describe the active safety threat(s), identify which children are affected, and which caregivers or other adults are involved. Describe the progress toward alleviating the safety threat(s).

Nikki, Jan, Allie, Mark, Sammi, and Naya are in immediate danger of serious harm due to continued issues involving supervision, substance abuse, and domestic violence. Robert continues to hit, punch, slap, and throw objects at Alicia, which causes physical injury to her. On several occasions the children have witnessed Robert become violent and have witnessed their mother's injuries. The children's current caregivers have reported behavior (fearful responses, nightmares) that indicates that they have been emotionally traumatized by witnessing the violence. Robert and Alicia cannot control their alcohol use and become intoxicated while supervising the children. Robert and Alicia have also left Jan, Allie, Mark, Sammi, and Naya in the care of eight-year-old Nikki for several hours and overnight. They have not attempted to make other arrangements of care for the children and state that Nikki is capable of caring for her siblings. Naya has sustained a serious head injury while Nikki was watching the children overnight. All of these components place Nikki, Jan, Allie, Mark, Sammi, and Naya in imminent danger. The active safety threat is currently being controlled with a Voluntary Out-of-Home Safety Plan.

- b. Describe the present protective capacities of each caregiver and highlight significant changes that may have occurred since the last assessment of safety was completed.

Robert:

*(Emotive)* Robert does not admit to any wrongdoing in his interactions with Alicia and is not able to recognize how his violence has affected Nikki, Jan, Allie, Mark, Sammi, and Naya. He does not feel that his parenting is in anyway affected by his use of alcohol.

*(Behavioral)* Robert remains in jail at this time due to Domestic Violence Charges. Robert continues to state that he, Alicia, and the children will resume their lives as they were as soon as the charges are dropped against him.

*(Cognitive)* Robert does not recognize that it is not age appropriate for Nikki to care for her siblings in the absence of he or Alicia.

Alicia:

*(Emotive)* Alicia verbalizes that she loves her children and expresses a desire to take good care of her children.

*(Behavioral)* Alicia has sought medical treatment for Naya's head injury. Alicia is currently residing with her mother and plans to move back in with Robert once he is released so they can work on their relationship. *(Cognitive)* Alicia continues to minimize the impact of the violence on the children and is not able to recognize the imminent danger the children are in when Robert becomes violent with her. Alicia verbalizes that she wants help with her substance abuse issue, however does not fully understand the impact that her substance use has on her ability to parent the children. She does not recognize it is not developmentally appropriate for eight-year-old Nikki to care for her siblings in her or Robert's absence

- c. Describe the present vulnerability of each child and highlight significant changes that may have occurred since the last assessment of safety was completed.

Nikki is able to bathe, dress herself, and to make simple meals for her brother and sisters and change diapers. Nikki gets along with her peers at school. Nikki has access to mandated reporters however has not told them that she often watches her brothers and sisters overnight. Nikki feels overwhelmed when she has to babysit and feels emotionally distraught over Naya's injury. Nikki expresses fear of Robert however, when asked directly about violence in the home Nikki denies or downplays these incidents. Nikki is regularly responsible for supervision and care for all of her younger siblings overnight and has not disclosed this information prior to the current assessment/investigation.

Jan is able to dress herself but has difficulty understanding the type of clothing to wear for the current weather. Jan has not reported to any teachers the violence or injuries that have occurred in her home. Jan is very shy and timid. She speaks with a lisp. Jan attends school regularly and is receiving average grades. Jan is very attached to Nikki and tends to cling to Nikki when the worker is in the home.

Allie requires adults to provide for her safety and basic needs due to her young age. Allie is high energy and is very inquisitive. She often asks multiple questions in rapid succession, especially when asked to complete a task (i.e. Why do I need to put my coat on? What store are we going to? Why do we need to go? How long will we be there? Should I take dolly with me? Who else will be there?). Allie has access to mandated reporters however she is not able to recognize actions that may constitute abuse or neglect.

Mark requires adults to provide for his safety and basic needs due to his very young age. Mark attends preschool 3 days a week. He gets along well with his teachers and the other children at his school. He is easily directed by his schoolmates during play and waits for them to tell him what to do. Mark has limited access to mandated reporters however he is not able to recognize actions that may constitute abuse or neglect. Also, due to his potential speech delay the may not be able to verbalize abuse or neglect.

Sammi requires adults to provide for her safety and basic needs due to her very young age. Sammi is very active and requires constant supervision. She is now tall enough to reach door handles and has begun attempting to open doors on her own. She also has begun potty training, which went well for the first couple of weeks but she is no longer showing any interest in using the potty. She does not have access to mandated reporters and is not able to recognize or verbalize actions that may constitute abuse or neglect.

Naya requires adults to provide for her safety and basic needs due to her very young age. Naya currently has a skull fracture that is healing. She does not demonstrate a strong attachment to Alicia or Robert, however is very attached to Nikki. When upset or hurt Naya seeks out Nikki for comfort and Nikki is able to soothe her very quickly. Naya does not have access to mandated reports and is not able to recognize or verbalize actions that may constitute abuse or neglect.

## B. Safety Response Review

<input checked="" type="checkbox"/> Maintain	<input type="checkbox"/> Create	<input type="checkbox"/>	<input type="checkbox"/> Discontinue Date: Click or tap to	<input type="checkbox"/> Previously Discontinued
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- ***“Maintain,”*** if the safety response has not changed since the last assessment of safety.
- ***“Create,”*** if a safety response is needed.
- ***“Modify,”*** if a revised safety response is needed.
- ***“Discontinue,”*** if a safety response is no longer needed
- ***“Previously Discontinued,”*** if a safety threat is not currently active but had been active since the last assessment of safety and the safety response was discontinued.
- If the child is placed out of the home through either an out-of-home safety plan or a legally authorized out-of- home placement and the specified safety response is ***“Modify”*** or ***“Discontinue,”*** complete the Reunification Assessment.

## Section 3: Child Harm Description

### A. Current Harm

For each child, identify and describe the type, degree and frequency of actual harm or threatened harm that does not reach the threshold of serious harm OR does reach the threshold of serious harm and was not identified in the safety assessment. Include the information obtained regarding the allegations of child abuse, neglect and/or dependency.

Nikki, Jan, Allie, Mark, Sammi, and Naya continue to witness domestic violence situations in which their mother has often been physically harmed. They have also been left home without adequate supervision on multiple occasions. As a result of inadequate supervision, Naya sustained a head injury. Nikki has been placed in the position to take on responsibilities that are above her development level, such as babysitting all of her siblings, preparing meals, bathing her siblings, and getting them ready for school. Alicia and

Robert have been intoxicated while supervising the children on several occasions.

## B. Historical Harm

For each child, identify and describe all historical reports of abuse and/or neglect. Include the date(s) of report, type(s) of maltreatment, identification of the ACV(s) and AP(s), case disposition(s) and case outcome(s) (e.g., closed, transferred to ongoing services, child placed in out-of-home care, etc.).

**02/01/12 – Physical Abuse – Unsubstantiated –Case Closed at Intake** AP: Mother ACVs: Nikki (3 yrs old), Jan (2 yrs old)

Report that when mother gets frustrated with children she hits them with hairbrushes or a belt leaving marks on their arms and legs. When assessed by CPS the children did not have any marks and mother denied using objects to discipline Nikki and Jan. She reported swatting the girls on their bottoms when they needed correction.

**03/25/12 – Sexual Abuse – Unsubstantiated – Case Closed at Intake** AP: Dante Smith ACV: Jan (2 yrs old)

Report that mother's boyfriend, Dante, was seen fondling Jan during a diaper change at a relative's home. Mother and Dante denied that Dante ever changes Jan's diapers. Jan was medically evaluated with no medical findings of sexual abuse. Jan was unable to provide any information during the investigation due to her age.

**12/28/13 – FINS** – financial assistance with rent after mother lost her cash assistance check

**10/14/14 – Medical Neglect – Unsubstantiated - Case Closed at Intake** AP: Mother ACV: Mark (1 yr old)

Report that Mark had been seen at the pediatrician's office and found to have pneumonia. Physician prescribed antibiotic at that time. Mark was seen again two days later at the same pediatrician's office with worsening symptoms. Mom had not picked up medication until the morning of the second appointment. Mom reported she had not been able to get a ride to the pharmacy. Mark received all medication needed from that time and mom followed up on all medical appointments regarding the pneumonia.

**6/05/15 – Neglect- Unsubstantiated – Case Closed at Intake** AP: Mother ACVs: Nikki (6 yrs), Jan (6 yrs), Allie (4 yrs), Mark (2 yrs), Sammi (10 mos)

Mom treated at local hospital and tested positive for marijuana, admitted to daily use. Mother denies using around the children however mother's youngest is 10 months old and mother is the only adult living in the home. Mother states that she would like to quit and does want to get counseling. Mother given referral for substance use counseling.

**6/01/16 – Emotional Maltreatment – Substantiated – Case Closed at Intake** AP: Robert Fowler ACVs: Nikki (7 yrs), Jan (6 yrs), Allie (5 yrs), Mark (3 yrs), Sammi (1), Naya (3 mos) Report that Robert became physically violent towards Alicia and damaged property in the home. All of the children were home and witnessed the violence. Police were called and Alicia completed a Domestic Violence packet. Alicia and the children moved out of the home.

**7/16/16 – Physical Abuse – Unsubstantiated – Case Closed at Intake** AP: Mother ACVs: Sammi (23 months)

Report that Sammi had burns across her face. Mother ironing clothes on floor, Sammi fell onto her back while playing with a sibling knocking the iron over and it burned her face. Mother put antibiotic ointment on it at home. The burns looked worse later that day and mother took her to the hospital to be checked by a physician. Sammi referred by physician to burn clinic.

## Section 4: Strengths and Needs

### Category 1: Child Functioning

Rate each element: **RC** = Risk Contributor; **NRC** = No Risk Contributor

ASSESSMENT ELEMENTS	NAMES OF CHILDREN IN THE FAMILY					
	Nikki Johnston	Jan Johnston	Allie Johnston	Mark Johnston	Sammi Johnston	Naya Johnston
1. Self-Protection	RC	RC	RC	RC	RC	RC
2. Physical /Cognitive /Social Development	NRC	NRC	NRC	NRC	NRC	NRC
3. Emotional/Behavioral Functioning	RC	RC	RC	RC	RC	RC

Write a rationale for each child that supports the ratings above. Describe the impact other household members not included in this assessment have on each child. Discuss how the individual elements impact one another. Describe any strengths each child may have in relation to the assessment elements.

**Nikki – 8 yrs    Jan – 7 yrs    Allie – 5 yrs    Mark – 3 yrs    Sammi – 2    Naya – 10 months**

#### **Self-Protection: Risk Contributor**

Naya and Sammi are young and have very little contact with anyone outside of the home. Mark has access to mandated reporters, however his speech is delayed and he may not be able to communicate reports of abuse/neglect. Nikki, Jana, and Allie will not report abuse/neglect and deny or downplay any incidents of violence that occur in the home. They do not recognize their experiences as neglectful or abusive.

#### **Physical/Cognitive/Social Development: Non-Risk Contributor**

Nikki, Jan, and Allie have friends at school, have good grades, and miss very little school. Mark regularly attends pre-school. Mark's speech is not well developed, but this could be appropriate for his age. He should be monitored to ensure that his speech continues to improve. Sammi and Naya are on target for their physical and cognitive development. No social developmental delays have been identified for Sammi, Naya, or Mark. The children are current on their immunizations and well-child checks with no concerns noted other than Mark's speech.

#### **Emotional/Behavioral Functioning: Risk Contributor**

Nikki has been noted to be quietly defiant while placed at her aunt's home. She ignores instruction from her aunt and continues to attempt to parent Jan, Allie and Sammi. Nikki and Allie become scared and tearful when loud or unexpected noises occur, or if an adult's voice is raised, even when not raised in anger. Jan wakes up crying frequently at night, stating that she has bad dreams about Robert hurting her mother. Mark and Sammi have been acting out violence with their toys. For instance Sammi, when playing with her dolls, has the daddy doll hit the mommy doll repeatedly while saying "bad mommy, you out too late". Naya has no reaction to unexpected loud noises or startling stimuli and goes easily to any person caring for her. All of the children seek out Nikki for comfort or reassurance during supervised visits with their mother. The children have not had a visit with Robert since the incident on 11-06-16 as Robert remains in jail at this time.

**Category 2: Adult Functioning**

Rate each element: **RC** = Risk Contributor; **NRC** = No Risk Contributor

ASSESSMENT ELEMENTS	NAMES OF ADULTS IN THE FAMILY			
	Alicia Johnston	Robert Fowler		
4. Cognitive Abilities	NRC	NRC		
5. Physical Health	NRC	NRC		
6. Emotional / Mental Health Functioning	NRC	NRC		
7. Domestic Relations (Domestic	RC	RC		
8. Substance Use	RC	RC		
9. Response to Stressors	RC	RC		
10. Parenting Practices	RC	RC		

**Write a rationale for each adult that supports the ratings above. Describe the impact other household members not included in this assessment have on each adult. Discuss how the individual elements impact one another. Describe any strengths each adult may have in relation to the assessment elements.**

**Cognitive Abilities:**

Alicia – Non-Risk Contributor

Alicia denies any cognitive delays and graduated high school. She has maintained part-time employment as a cashier at a local convenience store for the past year.

Robert –Non-Risk Contributor

Robert denies any cognitive delays and has his GED. Robert has maintained employment in the past as an auto mechanic. Caseworker noted no obvious signs of cognitive delays.

**Physical Health:**

Alicia and Robert – Non-Risk Contributor

Alicia and Robert are in good physical health and neither takes prescription medication for any issues. They do not have any physical disabilities.

**Emotional/Mental Health Functioning:**

Alicia – Non-Risk Contributor

Alicia reported that she had poor experiences with mental health treatment in the past. Alicia did not provide information as to why she pursued counseling in the past and denies having a mental health diagnosis. At this time there is no information that identifies Alicia as having a mental health issue.

Robert –Non-Risk Contributor

Robert reported that he had poor experiences with mental health treatment in the past. Robert did not provide information as to why he pursued counseling in the past and denies having mental health diagnosis. Agency records indicate that Robert was referred to counseling services in a past case for feelings of depression. There is no current information that would identify Robert as having a mental health disorder.



**Domestic Relations:****Alicia – Risk Contributor**

On multiple occasions Alicia has been physically struck by Robert resulting in injury, both visible and non-visible. Alicia has missed work due to Robert's violence. Alicia is unable to provide protection to the children during the violence. On at least two occasions it has impacted her ability to assist with getting the children ready for school and providing care to the youngest children as she recovered from being punched in the face by Robert. Alicia has attempted to physically leave the relationship several times and has moved, with her children, to a different residence. However, she and Robert have always maintained contact.

**Robert – Risk Contributor**

There are several police records for domestic violence where the children were present. Despite pending charges as well as known incidents in which Robert physically assaulted Alicia, Robert denies hitting or being violent with Alicia. Most recently on November 6, 2016 Robert became physically violent with Alicia and exhibited controlling behavior during a visit with the children. Robert hit Alicia in the face causing a black eye and abrasions. He also would not allow the children to leave with paternal grandmother and maternal aunt and physically blocked their exit. All of the children were present for this altercation and Nikki reports being fearful during these times. At the time of this assessment, Robert and Alicia plan to reconcile when Robert is released from jail.

**Substance Use:****Alicia – Risk Contributor**

Alicia drinks alcohol almost daily and has left her children unsupervised in order to obtain alcohol. Alicia says she usually drinks when she feels "stressed out." Alicia has also left all of the children at home alone overnight so she and Robert can go drinking with friends. Alicia has blacked out due to her alcohol consumption. Alicia admits to using marijuana occasionally and she tested positive for marijuana when she delivered Naya. Alicia acknowledges that alcohol is interfering in her ability to parent and wants assistance in getting help for this issue.

**Robert – Risk Contributor**

Robert drinks alcohol several times a week, both at home alone and with friends. He has always been a social drinker but feels that his drinking has increased since his unemployment about a year ago. He denies his drinking interferes with his ability to parent appropriately or function in everyday life. However, he has recently not been following through with potential work opportunities and chooses to go drink with friends instead. Also, while Alicia is at work Robert has left the children at home alone several times to go drinking with his friends. Robert has also reportedly been intoxicated at times when he becomes physically violent with Alicia. Robert denies any other substance use.

**Response to Stressors:****Alicia – Risk Contributor**

Alicia uses alcohol or marijuana when she is "stressed out". She becomes stressed by being the only income earner for the family at this time and keeping Robert "happy". She is very focused on keeping her job to ensure that she can continue to provide enough for her family. She also feels stressed about her drinking, however this then leads to more drinking to cope with the stress. Alicia states that in the past she has relieved stress by spending time with her family, however her family does not approve of her drinking so she has stayed away. When she drinks due to stress her ability to keep her children safe is impacted as she has blacked out, been intoxicated alone with her children, and driven the children while intoxicated.

**Robert – Risk Contributor** Robert has had increased stress over the past year due to unemployment and not being able to contribute monetarily to the household. Robert has chosen drinking with friends over finding employment despite the stress that unemployment places on him. Robert's physical violence towards Alicia has increased over the last year. Robert states that he has relied on family in the past when

he is stressed however his mother often asks how the job hunt is going so he has had less communication with her in the recent months. Robert's response to stress has impacted the children's safety and care as they have been present during domestic violence situations and have not been adequately supervised.

**Parenting Practices:**

**Alicia – Risk Contributor**

Alicia does not recognize that it is not age appropriate for Nikki to supervise and care for her siblings, by preparing meals, bathing them, and getting them ready for school. She believes Nikki is old enough to take on this responsibility as Alicia herself had this experience as a child at age 12. She does not recognize Naya's head injury was due to inappropriate supervision, feeling that it was bound to happen as Naya is a busy baby. Alicia states she loves her children and the caseworker has noted positive interactions between Alicia and her children. However, Alicia has a difficult time deferring her wants to meet the needs of the children. In this regard she has placed her needs above the children's when she drinks to intoxication while caring for the children and, goes out at night with Robert leaving the children at home alone overnight.,

**Robert – Risk Contributor**

Robert does not recognize that it is not age appropriate for Nikki to supervise her younger siblings, and that Nikki herself needs supervision. Robert feels that Nikki is old enough to be babysitting and that she knows what to do in an emergency, should one arise, while babysitting. He also doesn't feel that it is a problem for Nikki to assist the other children with getting food, baths, and making sure they are ready for school. Robert states he should be working, not watching children all day. Robert is often not able to defer his own wants to meet the children's needs. He often leaves them inadequately supervised so he can go out with friends or go out at night with Alicia. . In the past he has reached out to his mother and cousin to request they watch the children.

**Category 3: Family Functioning**

Rate each element: **RC** = Risk Contributor; **NRC** = No Risk Contributor

ASSESSMENT ELEMENTS	FAMILY
11. Family Roles, Interactions, and Relationships	RC
12. Resource Management and Household Maintenance	NRC
13. Extended Family, Social and Community Supports	NRC

**Write a rationale that supports the family ratings above. Describe the impact other household members not included in this assessment have on the family. In that rationale, discuss how the individual elements impact one another. Describe any strengths the family may have in relation to the assessment elements.**

**Family roles, Interactions, and Relationships:** Risk Contributor

The presence of substance abuse in the home affects the roles, interactions and relationships in the family. Nikki is eight years old and is often placed in the role of caregiver for the younger children. The younger children seek Nikki for meeting their basic needs, and they often go to the neighbors and ask for help. The children do not routinely depend on Alicia or Robert to provide them food, help with homework, or assistance getting ready for school or bed. Robert does not want to provide care for the children and identifies that this is not his job.

**Resource Management and Household Maintenance:** Non-Risk Contributor

The family relies on public assistance and Alicia's income from her part-time job. Robert has been unemployed for the past year after his place of employment closed. Robert reports he is unable to find work as an auto mechanic. The family's housing is provided through a section 8 housing voucher, they receive OWF cash assistance, and they receive SNAP benefits. The family "gets by" with these resources. They routinely pay their rent and utilities, there is enough food for the family, and the children have adequate clothing.

**Extended Family, Social and Community Supports:** Non-Risk Contributor

Alicia and Robert have many relatives in the community, several of whom attended the family team meeting and have expressed willingness to help the children. Robert and Alicia have recently isolated themselves. If Alicia and Robert were to rely on the family members to assist them, they would benefit from this support. Their family members have been active in the lives of the children and enjoy spending time with the children when possible.

**Category 4: Historical**

Rate each element: **RC** = Risk Contributor; **NRC** = No Risk Contributor

ASSESSMENT ELEMENTS	NAMES OF ADULTS IN THE FAMILY			
	Alicia Johnston	Robert Fowler		
14. Caretaker's Victimization of Other Children	NRC	NRC		
15. Caretaker's Abuse/Neglect as a Child	NRC	NRC		
16. Impact of Past Services	RC	RC		

**Write a rationale for each adult that supports the ratings above. Describe the impact other household members not included in this assessment have on each adult. Discuss how the individual elements impact one another. Describe any strengths each adult may have in relation to the assessment elements.**

**Caretaker's Victimization of Other Children:** Non-Risk Contributor

There is no information that states either Alicia or Robert have victimized other children.

**Caretaker's Abuse/Neglect as a Child:** Non-Risk Contributor

Alicia and Robert deny being victims of abuse or neglect as children. There is no known history of such.

**Impact of Past Services:** Risk Contributor

Both Robert and Alicia have attended counseling in the past and neither found the counseling helpful. Robert attended counseling for anger management and depression. Alicia attended counseling for her alcohol use as a teenager.

## Section 5: Family's Perception

Describe how the family views their own strengths and problems.

Nikki, Jan, and Allie feel that they used to be closer to their mother as she would spend more time with them. Nikki and Jan are upset that their mother gets hurt by Robert and are afraid for their mother. Nikki is not able to recognize that it is not age appropriate for her to provide care for her siblings and sees it as part of her role as being the oldest child. Nikki blames herself for Naya's head injury, since it occurred when Nikki was in the caretaking role. Nikki recognizes that alcohol affects her mother and Robert but minimizes the problems. All of the children enjoy being with one another. They say positive things about each other, and play well together.

Alicia and Robert feel that they need to continue to work on their relationship as they know they have had some problems in the past. Alicia recognizes she and Robert have a problem with alcohol. Alicia wants to stop drinking but is unsure how to accomplish this as she remains stressed. Robert wants to get back to work so he can be the primary income earner, but he has been frustrated by the lack of opportunities. He and Alicia feel their extended family has been a strength in the past and have relied on them for support and childcare. They realize they have stopped utilizing their family but feel their families ask too many questions and it is easier to deal with their problems on their own. Alicia and Robert are both grateful the children can remain with family at this time.

## Section 6: Family Risk Assessment of Abuse/Neglect

☐ N/A- Non-Abuse/Neglect Case

NEGLECT	RESPONSE
N1. Current Report is for Neglect	Yes
N2. Number of Prior Reports	Three or more
N3. Number of Children in the Home	Three or more
N4. Number of Adults in Home at Time of Report	Two or more
N5. Age of Primary Caregiver	28 or older
N6. Characteristics of Either Caregiver (check & add for score) Parenting	Parenting Skills are major problem
N7. Either Caregiver Involved in Harmful Relationships	Yes, major domestic conflict and/or domestic violence
N8. Either Caregiver has a Current Substance Abuse Problem	Yes, alcohol or drug, either caregiver
N9. Household is Experiencing Severe Financial Difficulty	No
N10. Primary Caregiver's Motivation to Improve	Not motivated

Parenting Skills	
N11. Caregiver(s) Response to Investigation/Seriousness of Complaint	Attitude not consistent with seriousness of allegation (minimizes)

TOTAL NEGLECT RISK SCORE = INTENSIVE

ABUSE	RESPONSE
A1. Current Report is for Physical or Emotional Abuse	Yes
A2. Prior Abuse Reports Physical or Sexual Emotional Abuse	Both b and c
A3. Prior CPS Service History	No
A4. Number of Children in the Home	Two or more
A5. Either Caregiver Abused as Child	No
A6. Secondary Caregiver has a Current Substance abuse Problem	Alcohol abuse problem
A7. Either Caregiver Has History of Domestic Violence	Yes
A8. Either Caregiver Has Major Parenting Skills Problem	Yes
A9. Child in the Home Has Special Needs or History of Delinquency	No

TOTAL ABUSE RISK SCORE = INTENSIVE

### RISK LEVEL OVERRIDES

Policy Override Applied:

☒ YES

☐ NO

*Override to intensive. Check appropriate reason:*

- ☒ 1. An in-home or out of home safety plan is still active.  
☐ 2. Non-accidental physical injury to any age child requiring medical treatment.  
☐ 3. Death (previous or current) of a caregiver's child or any other child in their care as a result of abuse or neglect.  
☐ 4. Sexual abuse cases where the alleged perpetrator is likely to have immediate access to the child victim.  
☐ 5. Cases with non-accidental physical injury to an infant.  
☐ 6. Positive toxicology screen of child at birth.

*Describe reasons for any mandatory policy override:*

An out-of-home safety plan is active. Nikki, Jan, Allie, and Sammi are staying with Ruby (maternal aunt). Mark and Naya are staying with Barbara (paternal grandmother).

### OVERRIDE/FINAL RISK LEVEL

**FINAL RISK LEVEL = Intensive**

## Section 7: Case Analysis

### A. Case Decision (For Non-Abuse/Neglect Cases, complete Part 3 (a) and (c) only):

#### 1. Preliminary Matrix-Indicated Case Decision: Transfer to Ongoing Services

#### 2. Final Case Decision:

- a. To support the basis for the case decision, evaluate the following assessment variables and their relevance and importance to the case decision: active safety threats; protective capacities; child vulnerability; child harm; risk contributors and the final level of risk; child and family strengths and needs; family history; and family perceptions.

A safety threat is currently active and the risk level is intensive. All of the children have witnessed repeated acts of domestic violence by Robert in their home, which has led to the visible physical injury to their mother. Robert denies these occurrences of domestic violence and Alicia is unable to protect the children during these incidences. Robert and Alicia have not provided age appropriate supervision to the children on multiple occasions and minimize the risk this places on the children. Alicia and Robert have both been intoxicated while supervising the children and both have left the children in the care of Alicia's eight-year-old daughter. They continue to minimize the risk this places the children at despite Naya being physically injured while Nikki was caring for her siblings overnight. Alicia and Robert have utilized family/friends in the past to watch the children but have not done so in several months. Alicia and Robert are not able to defer their own needs to provide adequate care or supervision to the children. Alicia and Robert continue to drink alcohol to excess. Alicia has blacked out due to her intoxication and Robert leaves the children in Nikki's care to drink with friends. Nikki has assumed a parental role beyond her developmental stage as she is expected to supervise, bathe, and feed her siblings on a regular basis. Jan, Allie, Mark, Sammi, and Naya have begun seeking Nikki out for comfort and reassurance. The children have begun to exhibit behavioral concerns while in care including violently acting out with toys (Mark and Sammi), crying frequently from bad dreams (Jan), overly fearful in everyday situations (Nikki and Allie), and lack of response to loud/unexpected noises (Naya).

It is recommended the agency have continued involvement with this family to address the alcohol use by both Alicia and Robert, ongoing domestic violence, negative response to stressors, supervision concerns and non-age appropriate role given to Nikki brought about by Alicia and Robert's current parenting practices.

- b. Based upon the evaluation above, should the Preliminary Matrix-Indicated Case Decision be overridden? (Skip if Non-Abuse/Neglect Case)

☐ No ☐ Yes

If yes, reason:

\_\_\_\_\_ Discretionary override based on unique case circumstances as described above

\_\_\_\_\_ Other: \_\_\_\_\_

- c.) The final case decision is:

☐ ☐ Transfer for Ongoing PCSA Services ☒ Close, Refer Community Services ☐ Close

### B. Service Planning

**Family in Need of PCSA Services** - Likelihood of future maltreatment warrants continued agency involvement.

☒ X

## Voluntary In-Home Supportive Services

☐

Protective Supervision

☐

Out-of-Home Placement

Describe any underlying conditions (i.e., needs of family members, perceptions, beliefs, values, feelings, cultural practices, and/or previous life experiences) that influence the maltreatment dynamic within the family system and/or may cause or contribute to safety threats or risk contributors.

**Description:**

Robert denies he has done anything wrong in his actions (domestic violence) towards Alicia, and denies that the violence has a negative impact on the children. Both Alicia and Robert minimize the effect of substance abuse on their care of the children; they believe that Nikki is capable of caring for the younger children; and they put their needs above the needs of the children. Alicia uses alcohol as a stress reliever. Robert is frustrated and stressed about his unemployment.

Describe the services and/or interventions suggested to resolve immediate safety threats, strengthen protective capacities, and/or reduce risk as identified in this assessment.

**Description:**

Substance abuse treatment, batterer's intervention program, domestic violence trauma services, parenting education

**Section 8: Signatures****Completion Date:** \_\_\_\_\_

<b>CASEWORKER:</b>	<b>DATE:</b>	<b>SUPERVISOR:</b>	<b>DATE:</b>
<b>OTHER AGENCY ADMINISTRATOR:</b>			<b>DATE:</b>
<b>OTHER:</b>			<b>DATE:</b>

Supervisor Comments: