

Slide #

Prior to Family Assessment

Family Case Plan



Notes:

Family Case Plans

Slide # Timeframe **Family Case Plans Voluntary Family Case Plans** The Family Case Plan (May be used for any case (may be used with Traditional Response (TR) or Alternative requiring a case plan) Types of Response (AR) cases) **Family Case Plans** OAC Voluntary Timeframes for completion of Family Case Plan: **Timeframes** Traditional Response Family Case Plan • No later than 30 days after service agreement **Custody or Protective Supervision** No later than 30 days from date of complaint Alternative Response Family Case Plan . No later than 30 days after the Family Assessment

Handout #1

Service Provision
& Planning



Assessment-Driven

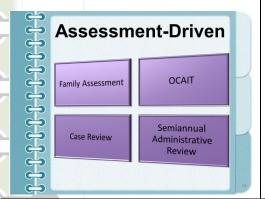
Planning Increases Service
Effectiveness

Motivation to Change Matters

Individualized & Build on
Strengths

Families Must be Engaged

Key Concepts in the Family Case Planning process:



Notes:

How have you seen Strengths and Needs Assessment elements interrelate?

Describe a time when you have seen one or two Risk Contributors causing other elements to be rated as Risk Contributors.



STRENGTHS

NEEDS

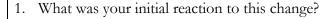
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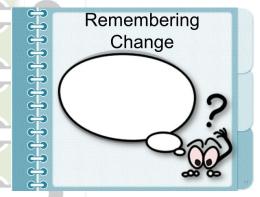
List all services you have provided or seen provided by CPS: How was it decided the service was necessary?

Remembering Changes:

Think of a situation in which you made a significant change in your life. Please answer the following questions.



- 2. Why did you decide to make this change? Was it forced on you?
- 3. Did your reactions to this process change over time?
- 4. Who or what helped you make this change?



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5. What risks were involved in making this change?

6. Many changes involve loss. What did you lose when you made this change?

Stages of Change

1. Pre-Contemplation

2. Contemplation

3. Preparation

4. Action

5. Maintenance



Classroom Performance System Question Notes:



What motivates you to make change?

Barriers to Change/ Motivation



Individualizing Services and Family Case Plans

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Strengths in the CAPM model:

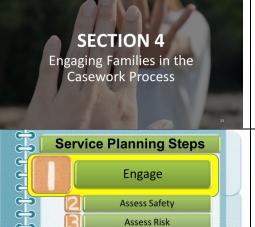
1.

2.

3.

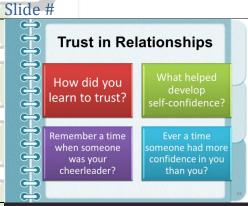
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Notes:



Identify Desired Behavior Change
Identify Action Steps & Services
Provide Services
Review

Notes:



Establishing Trust in Relationships
In small groups, discuss each question. Large group discussion will follow.



How do Rhonda, Jeff, and Amanda view their participation on Family Case Plans?



What strategies may be helpful or necessary in engaging a person or family in this stage of change?

- 1. Pre-Contemplation
- 2. Contemplation
- 3. Preparation

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4. Action

5. Maintenance

Meet the Collins Family...



Collins Intake:

What were the initial allegations?

What is the timeframe for response?

Meet the Collins Family...



Collins Safety Assessment:

Were there any Active Safety Threats? If so, what are they?

Was there a safety plan implemented? If so, what is the safety plan?



Meet the Collins Family...



Collins Family Assessment:

What is your understanding of this family's strengths?

✓ Which of the elements on the Strengths and Needs Assessment appear to be interrelated?

✓ How might you begin to engage this family in a collaborative partnership?

✓ Where do you think Maria and Louis are on the Stages of Change?

√ How might their motivation affect their engagement?

Exploring Motivation

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✓ What barriers do you anticipate in engaging this family and how might you overcome them?

What strategies can you use to explore motivation?



Notes:

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Keeping Families Invested

- ✓ Express Empathy
- ✓ Use Constructive Confrontation
- ✓ Develop Discrepancy
- ✓ Avoid Argumentation
- ✓ Support Self-Efficacy
- ✓ Roll with Resistance
- ✓ Shift the Focus
- ✓ Emphasize Personal Choice

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✓ Reframe

Notes from Classroom Performance System Questions:

Difficult Conversations...

Cultural Issues in Service Planning and Provision:

Additional Strategies for Engagement

Additional
Strategies
for
Engagement

- 1. What are some cultural issues to consider, specific to the development of a family case plan? Have you had an opportunity to experience any of these? If so, what did you do?
- 2. How might your family or a family member of your cultural group have reacted if asked to develop and implement a family case plan? What strategies might have been successful in engaging your family?

Handout #1 **Service Provision CW Core Module 6 Note Taking Guide** & Planning Slide # 36 Engagement Caseworker Core Module 6: Service Planning and Provision in Family-Centered Child Protective Services. Written by the Institute for Human Services for the Ohio Child Welfare Training Program - Revised March 2022

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Walkaround:

- 1. Why is it important to find and engage nonresidential caregivers in family case planning?
- 2. What are the barriers to engaging nonresidential caregivers in family case planning?

3. What are your suggestions for engaging nonresidential caregivers in family case planning?

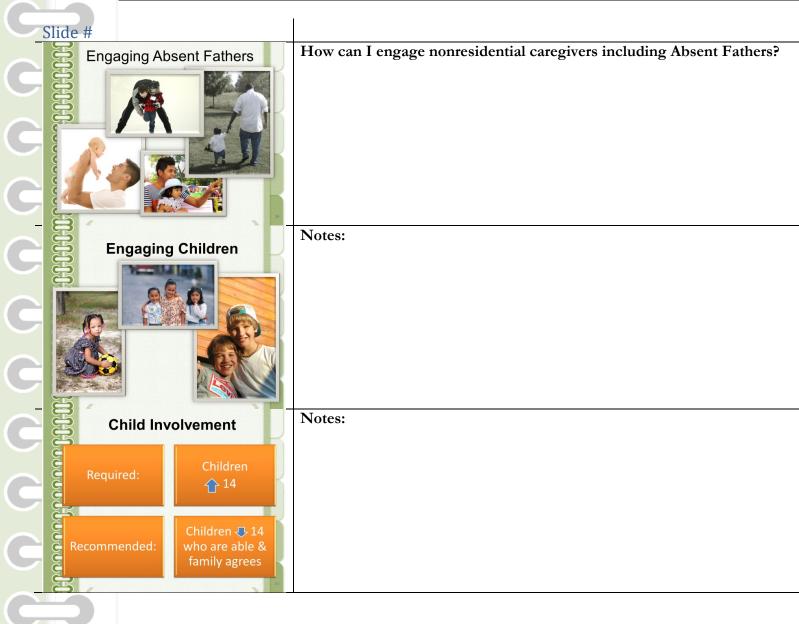
Definitions

Putative Father

Natural Father

Legal Paternity

Notes:



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Degree of Stress

Perception of Event

Coping Mechanisms

Reduce Stress

Help the family maintain a realistic perception of the event

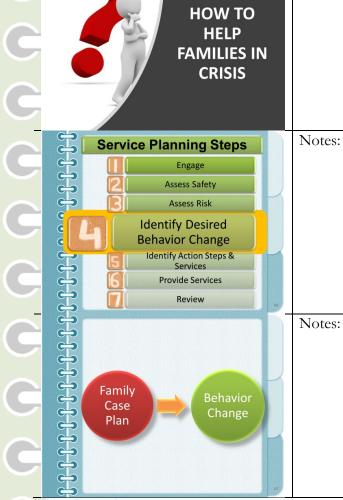
Help the Family Cope

Helping Families in Crisis

- ✓ Reduce stress
- ✓ Help the family cope
- ✓ Help the family maintain a realistic perception of the event



Ways to help families who are experiencing crisis



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Notes from the Classroom Performance System questions:

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Notes about the Family Case Plan in SACWIS:

Development of Family Case Plans



Strengths & Needs



Risk Contributors



Family Case Plan



What strategies might you use to engage Maria, Louis, Sara, and Donald to identify the concerns/needs to address in the Family Case Plan?

• What strategies might you use to engage Maria, Louis, Sara, and Donald to identify the concerns/needs to address in the Family Case Plan?



What hypotheses do you have about the Collins family's situation and which risk contributors are underlying the others?

WHAT HYPOTHESES DO YOU HAVE ABOUT THE **COLLINS FAMILY'S SITUATION & WHICH RISK** CONTRIBUTORS ARE UNDERLYING THE OTHERS?

Grouping Risk Contributors



Describe the process of identifying issues (concerns), how Risk Contributors impact each issue, and how each issue impacts other Risk Contributors.

Family Case Plan



Notes:

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Writing Concerns

Notes:

Family Case Plan

What are the concerns/needs for the family?

dentify the behaviors or circumstances resulting from the risk contributors identified for this concern/need. Identify how the ehaviors or circumstances impact the safety and/or risk of the children.



Clear

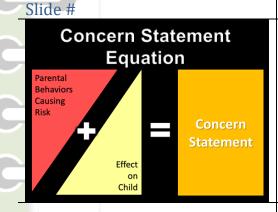
Behaviorally Specific





Effective Concerns

Describe Behavior Needing Changed Well-written concern statements are clear and simple and bring clarity to the behavior that needs to change.

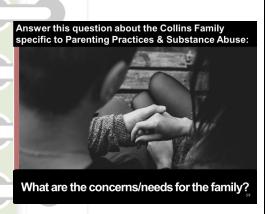


Concern Statement Equation:

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Example:

Louis and Maria report they "cannot deal" with the stress in their lives. At times, Donald and Sara have not been supervised nor had their basic needs met. Sara has had to perform caretaking duties for Donald, and both Sara and Donald supervise themselves.



Parenting Practices/Substance Abuse: What are the concerns/needs for the family?

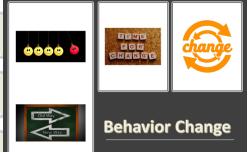
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Example:

Donald and Sara need Louis and Maria to provide for their supervision and basic needs. On more than one occasion, Louis has passed out from alcohol use when he was the only caregiver immediately available to supervise and provide basic needs for Donald and Sara. Louis is the primary caregiver at least four days a week while Maria works second shift, and Maria is aware of Louis' use of alcohol while she is at work. She and Louis have not found alternative caregivers for Donald or Sara during these times.

Example:

Donald and Sara need Louis and Maria to provide for their supervision and basic needs. On more than one occasion, Louis has passed out from alcohol use when he was the only caregiver immediately available to supervise and provide basic needs for Donald and Sara. Louis is the primary caregiver at least four days a week while Maria works second shift, and Maria is aware of Louis' use of alcohol while she is at work. She and Louis have not found alternative caregivers for Donald or Sara during these times.



Notes:

Identifying Behavior Change

Family Case Plan

What do the family and caseworker want to see happen to address the identified concerns/needs?

Describe the behaviors or circumstances that will indicate that the risk contributors identified for this concern/need have been mitigated or improved.

Behavior changes must be specific, explained in clear, simple language.



Changed Behavior Behavior to Resolve Concern Anticipated Improvements to Adult Resolve Family Behavior Change Statement

Notes:

Louis and Maria will respond to stress in ways that do not negatively impact their children.

When Louis and Maria improve their response to stress:

- Donald and Sara's basic needs for supervision, hygiene, food, and shelter will be met by Louis and Maria.
- Sara will not be expected to be Donald's caretaker and will have only chores and responsibilities which are suitable for a nineyear-old child.
- Maria and Louis will find alternative caretakers if Louis becomes intoxicated while he is responsible for the care and supervision of Donald and Sara.
- Maria or Louis will provide care for Donald and Sara or ensure responsible adults are available to do so, and Donald and Sara will not be expected to care for themselves. These responsible adults are those included in the Safety Plan until this plan is terminated.
- Maria will not allow Louis to supervise the children when he is intoxicated and Louis will not supervise the children when he is intoxicated

Example:

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happen to address the identified concerns/needs?

What do the family and caseworker want to see happen to address the identified concerns/needs?

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Which Risk Contributors would be affected by these behavior changes?

Underlying Cause of Concerns

What is causing these concerns/needs for the family?

Describe the Risk Contributors identified above as they relate to this specific concern for the family.

EXAMPLE:

Louis uses alcohol to cope with stress in life. His daily stress is compounded by not being able to work and feeling like his medical conditions limit what he can do with the children. Maria is struggling with maintaining the household while Louis drinks and is making unsafe decisions regarding supervision.

Risk Contributors help the caseworker and service team understand the underlying conditions and contributing factors are associated with each concern. This understanding helps inform appropriate services and interventions to support behavior change.

Concerns must be written in factual, clear, unbiased, and behaviorally specific language.

Which of these NRCs are strengths that can be used to reduce the risk of future harm?

how the strength will mitigate an issue, concern, or condition.

What strengths & family/community supports does the family have?

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Describe the NRCs identified above & how they mitigate the Risk Contributors for this concern.

Strengths are Non-Risk Contributors that can mitigate identified Risk Contributors.

Identifying and utilizing relevant, mitigating strength. Caseworker must be able to identify

Strength:

Cognitive Ability; Impact of past services

 Louis understands what is reasonable to expect from Donald and Sara at this stage of their development. Louis is able to articulate the concerns about leaving Donald and Sara unsupervised. Louis is willing to work with the agency to keep the children safe and to change his behaviors.

Strength:

Cognitive Ability; Impact of past services

- Louis has the cognitive ability to recognize his drinking is a problem and acknowledges he wants help.
- Louis and Maria have never received child protective services in the past. Likewise, Louis has never received substance abuse treatment before

A Non-Risk Contributor may be a strength in more than one area of functioning.

CW	Core	Module	6	Note	Taking	Guide
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Handout #1

Service Provision & Planning

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	Why is it important to document Case Services?	Which are Strengths?	What are the strengths? Describe why this is a strength?		
	1.				
977	2.				
	3.				
	Documenting Case Services https://sacwis-trn.jfs.ohio.gov/sacwis/logon.do				
78					



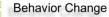
Log in to the Maria Collins case in SACWIS.

Create a
"Case
Management"
Service for
Maria Collins.



What is important for you to remember about creating and identifying family member activities?

Services & Action Steps











Identified services, action steps, and interventions must relate directly to alleviating concerns and support desired behaviors changes. These must be documented within the Family Case Plan.

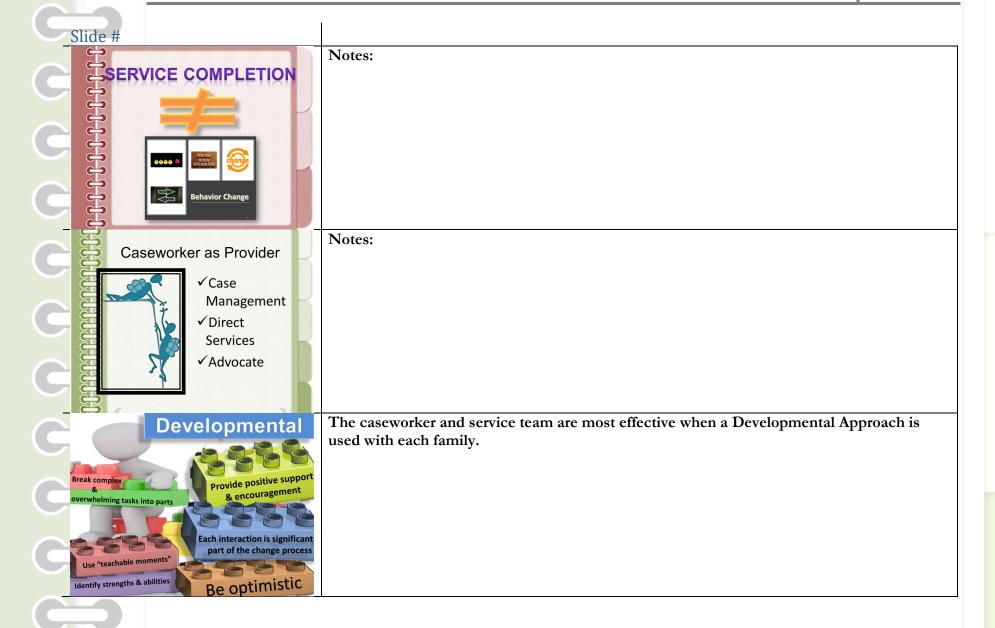
Family Member Action Steps

- How does the action step change behavior?
- Do the steps directly relate to the behavior change?

Notes:



Handout #1
Service Provision & Planning



Caseworker Activities Must be explicitly stated Explain to families exactly what they can expect Opportunity for Engagement How will the caseworker ensure families access services?

Caseworker Activities:

1.

2.

3.

4.

Identifying Action Steps & Services

What steps will the family take to achieve what the family and the caseworker want to see happen? Identify individuals who will complete these action steps.

Describe the services and actions steps to the family in order to clearly identify how the service will help the family while clearly identifying what the family is expected to complete. This should explain how the service will assist with reducing the risk contributors identified for this concern/need.



Notes:

91 92 Intensive Home-Based Therapy (IHBT)

- 1. When contacted by the Counseling Care Center (CCC), Maria and/or Louis will schedule an appointment for Intensive Home-Based Therapy (IHBT).
- 2. Maria and Louis will schedule to meet with the IHBT counselor within one week (7 days) of being contacted by the CCC to complete an assessment to determine the frequency and types of services the IHBT counselor will provide to the family. The IHBT counselor will come to the family's home to work with them.
- 3. Maria and Louis will be home at the times they agree to meet with the IHBT counselor. They will schedule their appointment at a time when Sara and Donald are home.
- 4. Maria and Louis will actively participate in the IHBT counseling services at the frequency identified by the assessment and take notes to remember what they are supposed to work on between sessions.
- 5. Maria and Louis will practice and/or complete assignments between sessions.

Service Provision & Planning

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- 6. Louis and Maria will contact the IHBT counselor at any time of day and any day of the week for emergencies.
- 7. Louis and Maria will sign releases of information to allow the caseworker to receive progress reports from the IHBT counselor.

Family Case Plan:

- 1. The caseworker will refer Louis and Maria for IHBT through the CCC within
- C 2. The caseworker will make sure that the CCC contacts Louis and Maria to schedule their first appointment within three business days.
- 3. The caseworker will meet with the IHBT counselor and the family together to assure the family's goals for counseling coincide with the family's Case Plan
- 4. The caseworker will contact the IHBI counselor to obtain progress reports

 5. The caseworker will help the family problem-solve any barriers to service

 completion.

 6. The IHBI counselor will complete an initial assessment with Louis. Maria.
- 6. The IHBT counselor will complete an initial assessment with Louis, Maria, Sara, and Donald and provide recommendations for the type and frequency of
- 7. The IHBT counselor will meet with Louis, Maria, Donald, and Sara in their C home, at the frequency identified as necessary in the assessment and provide ongoing therapy for Louis to assist him in achieving the desired behavior change
- 8. The IHBT counselor will provide Louis, Maria, and the caseworker regular reports on the family's progress in the IHBT services.

What steps will the family take to achieve what the family and the caseworker want to see happen? Identify individuals who will complete these action steps.



Using the Collins' concern you created earlier, answer the following:

What steps will the family take to achieve what the family and the caseworker want to see happen? Identify individuals who will complete these action steps.

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Alcohol ahuse treatmen

- Louis will call (740) 555-4321 to schedule an alcohol abuse assessment at the Counseling Care Center (CCC) within three days.
- 2. Louis will arrange transportation to the alcohol abuse assessment. Louis may contact the Rural Transit System (RTS) at 111.111.1111 if he needs public transportation. RTS requires patrons schedule rides 12 hours ahead of when they need transportation.
- Louis will attend the alcohol abuse assessment at the CCC. If he cannot attend due to an unexpected emergency, he will reschedule the assessment within 24 hours.
 - 4. Louis will be honest and participate in the assessment.
 - 5. Louis will actively participate in any treatment recommended from his alcohol abuse assessment. This may include attending AA meetings, completing Intensive Outpatient treatment, attending weekly counseling, and participating in drug/alcohol testing or other treatment unique to Louis' situation.
 - 6. Louis will arrange transportation to all of his appointments and reschedule any missed appointments within 24 hours.
 - 7. Louis will sign releases of information to permit the caseworker to receive reports from the CCC counselor.

Alcohol abuse treatment

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- 6. Louis will arrange transportation to all of his appointments and reschedule any missed appointments within 24 hours.
- 7. Louis will sign releases of information to permit the caseworker to receive reports from the CCC counselor.

Example:

- 1. The caseworker will refer Louis to the CCC and will assist Louis in scheduling and attending the initial appointment.
- 2. The caseworker will assist Louis in developing solutions to challenges he ma face in attending or completing counseling.
- 3. The caseworker will show Louis how to obtain the most updated list of AA meetings from the county ADAMHS board and provide Louis with an initial list
- 4. The caseworker will provide Louis with feedback about how he is progressing on the Family Case Plan goals.
- 5. The caseworker will make referrals to any additional services identified (by the caseworker, service providers, or the family) as potentially beneficial to the family.
- 6. The CCC counselor will complete an initial assessment with Louis and provide recommendations for type and frequency of service.
- 7. The CCC counselor will meet with Louis at the frequency identified as necessary in the assessment and provide ongoing therapy for Louis to assist him in achieving the desired behavior change.
- 8. The CCC counselor will provide Louis and the caseworker regular reports on how the therapy is proceeding and Louis' progress in therapy.

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- 5. The caseworker will make referrals to any additional services identified (by the caseworker, service providers, or the family) as potentially beneficial to the family.
- 6. The CCC counselor will complete an initial assessment with Louis and provide recommendations for type and frequency of service.
- 7. The CCC counselor will meet with Louis at the frequency identified as necessary in the assessment and provide ongoing therapy for Louis to assist him in achieving the desired behavior change.
- 8. The CCC counselor will provide Louis and the caseworker regular reports on how the therapy is proceeding and Louis' progress in therapy.



Why is it important to measure progress?

expected of them.

The caseworker and the family must know how progress will be measured in order to determine if behavior changes are occurring.

to know in advance what is

Notes:



New Way

Notes:

Service Provision & Planning

Handout #1



Notes:

What things must you consider when deciding how to measure behavior change?

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Measuring Progress

Family Case Plan

How will the family's progress be measured?

When will the family's progress b reviewed?

1. Progress will be measured during caseworker visits to the home and/or with the family. The caseworker will observe Louis' interaction and supervision of Donald and Sara. The following behaviors will be evidence of good progress:

- Louis providing supervision and attention to Donald and Sara
- Louis' identifying when he is or is not capable of caring for his children alone and needs another capable person to care for and supervise his children when the safety plan is discontinued
- Louis using a responsible caregiver when he cannot care for his children
- Maria and Louis identifying capable caregivers to assist in providing childcare to Donald and Sara
- Maria and Louis using caregivers for the children when Maria and Louis are unable to care for the children
- 2. Progress will be measured by talking with Donald, Sara, and other potential sources of information to determine whether Maria and Louis are supervising and caring for Donald and Sara or making arrangements to assure the children have a caregiver who is able to supervise and care for Donald and Sara.
- 3. Progress will be measured by the caseworker and IHBT counselor observing Louis using skills discussed in counseling during home visits.
 - Progress will be measured by the caseworker talking with Maria about her experiences and discussing with Maria how she has helped eliminate barriers to service completion.
- 5. Progress will be measured by reviewing the counselor's reports on Louis and Maria's progress in counseling.

Notes:

- 1. Progress will be measured during caseworker visits to the home and/or with the family. The caseworker will observe Louis' interaction and supervision of Donald and Sara. The following behaviors will be evidence of good progress:
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 - Maria and Louis identifying capable caregivers to assist in providing childcare to Donald and Sara.
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- 3. Progress will be measured by the caseworker and IHBT counselor observing Louis using skills discussed in counseling during home visits.
- 4. Progress will be measured by the caseworker talking with Maria about her experiences and discussing with Maria how she has helped eliminate barriers to service completion.
- 5. Progress will be measured by reviewing the counselor's reports on Louis and Maria's progress in counseling.

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Example:

Progress will be reviewed at all home visits, at formal case reviews every 90 days, at Semiannual Administrative reviews, and at all court hearings.

Notes:

Parenting Practices/Substance Abuse:

How will the family's progress be measured?



- Return to the Collins' case.
- Answer the following questions:

How will the family's progress be measured?

When will the family's progress be reviewed?

When will the family's progress be measured?

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1. The caseworker will make observations and conduct interviews with Donald, Sara, Maria, and Louis during home visits to determine if Donald and Sara are being supervised, if Louis appears to be under the influence of alcohol, and if Louis knows the whereabouts of Donald and Sara when he is responsible for them. Good progress will include:

- Louis supervising Donald and Sara when he is sober.
- Louis appearing sober.
- Louis identifying where Sara and Donald are at all times.
- Maria and Louis identifying responsible caregivers and using them when Louis is not sober or unavailable.
- 2. The caseworker will read progress reports and attendance reports from Louis' counselors and service providers. Good progress will be indicated by:
 - The counselor describing Louis as actively participating in counseling sessions, sharing his emotions, activities, thoughts, and worries openly in sessions.
 - Louis attending all scheduled appointments with his counselor.
 - Louis following his treatment plan.

Progress will be reviewed at all home visits, at formal case reviews every 90 days, at Semiannual Administrative reviews, and at all court hearings.



To make my home visits more effective \overline{I} will:



Practice Partnering: Family Case Planning Interview Role Play What Worked?

Emotional/Mental Health Functioning Family Case Plan Concern:

1. What is the concern?

2. What behavior will need to change to reduce risk and address safety issues of the children?



Write an entire Case Plan Concern for the Emotional/Mental Health Risk Contributor Grouping.

Handout #1

3. What activities do family members need to do to make this change?

4. How will the caseworker and/or service team help the family make this change?

What are the concerns/needs for the family?

Louis reports feeling "defeated" and "beat up" in his role as a husband and father. He sees his role as primary provider for the family and is frustrated he cannot contribute more. He is frustrated he cannot play more with Donald and Sara outside, and gets exhausted with his behaviors and overwhelmed when Maria is not home. These feelings lead Louis to alcohol to deal with his stress.

What do the family and caseworker want to see happen to address the identified concerns/needs? (Behavioral Change)

Louis will accept his disability and feel more content with his life. He will not be frustrated with what he cannot do and supervise his children without using alcohol.

What is causing these Concerns/Needs for the family?

Louis explained to his caseworker that he often feels "defeated" and "beat up", and several times he explained his feelings as "I hold my family back." Louis' leg and back injury have been a source of constant sadness for him as he sees his role as the primary provider for the family. Since he cannot provide for the family as he would like to, Louis reports feeling helpless and hopeless. Louis is frustrated that he cannot contribute more financially by working, but he is also afraid to lose his disability payments. He feels frustrated by not being able to take Donald and Sara outside to play, where he often wants to he.

What strengths and family/community supports does the family have?

Louis and Maria have never received child protective services in the past. Likewise, Louis has never received substance abuse treatment before. The family members all have several connections they could rely on for support. Jouis' family is several hours away, but he would be able to call them for support and the family goes to visit them frequently.

Family Case Plans must be clear and behaviorally specific. The components are designed to flow to bring focus and clarity to issues. They identify necessary behavior changes, interventions, and services. They are clear about how progress is measured so that all parties are informed and understand how, when, and why case activities are conducted.



What steps will the family take to achieve what the family and case worker want to see happen? Identify the individuals who will complete these action steps. (Services to address the concerns/needs)

 $Louis \ will \ contact \ CCC \ counseling \ at \ 740-555-1211 \ to \ schedule \ a \ mental \ health \ assessment \ within \ 3 \ days \ Louis \ will \ follow \ recommendations \ which \ may include \ counseling, \ medications, \ groups, \ and \ medical$

Louis will actively participate in counseling and learn ways to view his life more positively.

If Louis misses an appointment, he will call to reschedule within 24 hours.

Louis will arrange transportation or contact caseworker for bus tickets.

Louis will sign all ROI.

Caseworker will make referral for counseling within 24 hours.

Caseworker will assist with transportation as needed when requested.

Caseworker will contact counselor monthly to receive updates/progress reports.

Caseworker will meet with Louis every two weeks to discuss progress and to address any barriers

How will the family's progress be measured?

Caseworker will review all counseling reports and good progress will be demonstrated by:

· Louis feeling more hopeful and feeling he is providing for his family; Louis feeling he is an important part of the family.

· Through home visits, and talking with the children, caseworker will see progress demonstrated by Louis not drinking, having a positive attitude/outlook on his life, playing with Donald, talking more with Sara, and

When will the family's progress be measured?

The family's progress will be reviewed at home visits each month, at case reviews held at the agency every 90 days, at Semiannual Administrative Reviews every 180 days, and at all court hearings.

Family Case Plans must be clear and behaviorally specific. The components are designed to flow to bring focus and clarity to issues. They identify necessary behavior changes, interventions, and services. They are clear about how progress is measured so that all parties are informed and understand how, when, and why case activities are conducted.

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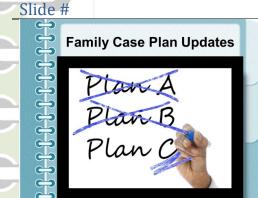
SACWIS Training Environment for Document Services:

https://sacwis-trn.jfs.ohio.gov/sacwis/logon.do



Additional requirements for children placed in substitute care settings:

Service Provision & Planning



Notes:

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Family Case Plan Update Rules

OAC:5101: 2-38-05

OAC: 5101: 2-38-01

OAC: 5101:2-38-20



SACWIS Training Environment

https://sacwis-trn.jfs.ohio.gov/sacwis/logon.do



Case Closure can cause:

Handout #1 **Service Provision** & Planning





Thank you for completing this Caseworker Core Workshop!

Please complete your E-track Evaluation!

