

Retail Mediation Activities Return - Section J Annual Income Report

This report summarises the income by business class and is used to calculate the fees due by a firm in respect of the Financial Conduct Authority (FCA), Financial Ombudsman Service (FOS) and Financial Services Compensation Scheme (FSCS).

If a client is not within the jurisdiction of FOS or not eligible to make a claim to the FSCS, they can be excluded from the relevant section by setting the exclusion option within the client record. This will exclude the client related income from the FOS Relevant Annual Income and/or FSCS Annual Eligible Income figures.

Clients ▾ Clients > Overview > Client Status

Contact Personal Account Data options Marketing Servicing Create partner

Holdings (7)

Work (0)

Documents

Calculators

Reports

Quit

Client status **FCA Client type**

Current client status / Date of change Current 19/04/2008

Is this a fee paying client? / Fee basis / Fund Percentage

Fee payment method

Default apply VAT ☐ 0%

Exclude from: FOS Reporting ☒ ? FSCS Reporting ☒ ?

Meetings Next meeting date Meeting reason

Date of next client valuation

Date of next client review 12/06/2019

Tasks Number of outstanding tasks 0

Number of current projects

New business Incomplete new business records 8

Proposed but not due

Due but not yet paid £370.00

Total client invoices due for payment

Print client overview

Client status Compliance Wealth Protection Needs

Network and Introducer values splits will be excluded where they are flagged as directly regulated

Contacts ▾ Contacts > Contact

Contact Activity Data upload

Work

Documents

Quit

Contact Notes Scanned docs.

Title / Initial / Surname Mrs Smith

Forename/s

Company name Accountant contact

Group

Address 1 Finance Row

Town London

County

Post code FIN 1AN

Email address

Website address

Telephone No.

Mobile telephone

Contact type Accountant

Default Adviser

FCA directly regulated ☒

This contact is an introducer of business ☒

Add Accountant contact to your favourites list ☐

40182CORE

Running the Report

Navigate to **Compliance > Reports > Regulatory** and click on the **Annual Income Report**

Advisers [Adviser list > Reports > Regulatory](#)

Regulatory reports

Equivalent RMAR section

- B1 - Regulated Business Revenue
- B2 - Other revenue
- B2 - Income from other regulated activities
- G - Training and competence
- G - Advice types
- G - COB data - Clawed back remuneration
- H - COB data - Appointed Representatives
- I - Supplementary product sales data
- J - Annual income report
- K - Adviser Charge Revenue
- L - Consultancy Charge Revenue
- Expenditure
- Split of paid business by product class

- Regulated business revenue
- Non-regulated business revenue
- Income from other regulated activities
- Current Adviser status (All Advisers)
- T&C Advice type analysis
- Schedule of clawbacks recorded
- Appointed representatives
- Analysis of non-investment insurance premiums
- Annual income report**
- Adviser charge revenue
- Consultancy charge revenue
- Expenditure - remuneration and fees paid
- Split of paid business by product class

Select required data range

Report from

Report to

Adviser ref

Location

Quit

The report will be displayed, detailing the income for your business in the relevant section. Options are available to return to the previous screen, print, preview or extract the report.

	FCA Annual Income (£'s)	FOS Relevant Annual Income (£'s)	FSCS Annual Eligible Income (£'s)
Home Finance Mediation	Home Finance	Home Finance	Home Finance
Non-Investment Insurance Mediation	General Insurance Pure Protection	General Insurance Pure Protection	General Insurance Pure Protection
Life and Pensions Mediation	Life & Pensions	Life & Pensions	Life & Pension
Investment Mediation	Investments	Investments	Investments
Total	Total	Total	Total

- The figures in this report are based on actual income for the reporting period
- Pure Protection business is included in the Non-investment insurance mediation figures
- This report excludes clients flagged as not being eligible as FOS or FSCS complainants
- Amounts shown are net of payment made to other authorised firms

Report Criteria

Criteria for each data cell within the report is explained below, green cells contain references, which are explained below.

	FCA Annual Regulated Income (£'s) 1	FOS Relevant Annual Income (£'s) 2	FSCS Annual Eligible Income (£'s) 3
Home Finance Mediation	1a	2b	3a
Non-Investment Insurance Mediation	1b	2b	3b
Life & Pension Mediation	1c	2c	3c
Investment Mediation	1d	2d	3d
Total	1e	2e	3e

Ref	FCA Annual Regulated Income (£'s)												
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