

CLIENT CLASSIFICATION FORM



This form is for a Nominated Representative to complete client classification for non-retail clients under the Corporations Act 2001.

Part 1 - Account holder details

Account holder name

Account number

Account name

Part 2 - Client classification

Please indicate the type of account holder (select one):

Wholesale Client

meets the Product Value Test: **s761G(7)(a)** The price for the provision of or the value of the financial product to which the financial service relates, equals or exceeds the amount specified in the regulations. In the event of joint account holders, both account holders must meet this test.

with an Accountant's Certificate: **s761G(7)(c)** You will need to provide a valid certificate from a qualified accountant using our form *Accountant's Certificate for Wholesale clients* or equivalent, and if applicable, a *Certificate of 'Control' of Trust or Company*.

Professional Investor s761G(7)(d) as defined in **s9** of the Corporations Act 2001

An Australian Financial Services Licence (AFSL) holder

A listed entity or related body corporate of a listed entity

A person who controls at least \$10 million

Other, as described in s9 (please specify):

Sophisticated Investor

Sophisticated Investor: **s761GA** – the licensee is satisfied on reasonable grounds that the client has adequate experience in using financial services and investing in financial products. The licensee has provided the client a written statement of the licensee's reasons for being satisfied and the client has signed a written acknowledgment.

Part 3 - Nominated Representative details and declaration

By signing this form, I declare that:

- The information provided in this Client Classification Form is true and correct;
- The account holder has been informed that they will not have the rights and protections that they would have as a retail client under the Corporations Act 2001;
- If applicable: I have provided a current Accountant's Certificate. When expired, I will provide an updated Accountant's Certificate upon request; and
- I agree to indemnify Præmium and its Directors, employees and associates against any liability, loss or claim arising in contract or tort in connection with the classification of the account holder as not a retail client.

Signature of Nominated Representative

Date

Full name of Nominated Representative

Company/Dealer Group

Return this completed form and any supporting documents to your Nominated Representative. Or post directly to Præmium Support Team, PO Box 322, Collins St West VIC 8007

This form is for Præmium Spectrum accounts issued by Præmium Australia Limited ("Præmium", "Operator") AB 92 117 611 78. AFSL 297956.