

ADVICE FEE AMENDMENT AND CONSENT FORM – RETAIL



How to use this form

This form is for Retail clients invested in the Powerwrap Scheme in a Smartwrap or Private Wealth account. This form allows you to request the following:

- authorise the deduction of a One-off Advice Fee from your account to be paid to your financial adviser.
- authorise to change or add an Ongoing Advice Fee, deducted from your account and paid monthly to your financial adviser and/or their dealer group.

Things to note:

- A separate form must be completed for each account.
- Any change to ongoing advice fees will be applied for the next month following the acceptance of the form.
- If the form is signed under POA, a certified copy of the valid POA must be provided along with the completed form.
- This form must be signed by both the account holder(s) and the financial adviser (with the exception of fee cancellation).

Part 1 - Account and service details

Account number

Account name

Contact phone number

Email address

**Choose a fee
option and
complete the
relevant section**

For a one-off advice fee go to Part 2
For an ongoing fee arrangement go to Part 3
For a fixed term fee go to Part 4

Part 2 - Fee type: one-off advice fee

I/We wish to authorise a one-off fee of \$ incl. GST (up to \$5,000 in aggregate per financial year).

Note: This will not affect any existing ongoing or fixed term adviser service fee and is a one-off payment only.

Part 3 - Fee type: ongoing fee arrangement

I/We wish to (select one):

Renew consent to the current Ongoing Advice Fee

Change/add Ongoing Advice Fee(s)

Cancel existing Ongoing or Fixed Term Advice Fee (Note: only one signature required, either client or adviser)

For **Ongoing Fee Arrangements**, please provide the following dates:

Reference date:

The reference date is typically 12 months after the date you entered into your arrangement with your adviser.

All new ongoing fee arrangements must specify a reference date. This date will trigger the consent renewal process and can be a maximum of 12 months from the date you and your adviser agree to the fee arrangement. The reference date of existing ongoing fee arrangements cannot be changed for requests to vary or renew ongoing fee payments.

Consent end date:

This is up to 150 days after the reference date.

Your consent will cease to have effect up to 150 days after the reference date and you can withdraw your consent, terminate or vary this ongoing fee arrangement at any time by notice in writing to your adviser. After your consent ceases to have effect, Powerwrap is no longer able to deduct fees from your account in return for services under your ongoing fee arrangement with your adviser unless you provide a new signed consent form.

Ongoing fee type	Fee amount calculated per annum and deducted monthly (incl. GST)												
Ongoing adviser fee Tick to exclude assets from the adviser fee. Please list assets below:	Percentage ¹ : % p.a. AND/OR Dollar amount: \$ p.a. or Tiered ongoing fee: <table border="1"> <thead> <tr> <th>Tier</th> <th>Rate</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table> Please include cash balances in the linked Cash Operating Account (if this box is not ticked, cash balances in the Cash Operating Account will be excluded in the calculation of Ongoing Advice Fees)	Tier	Rate										
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Dealer group fee	Percentage ¹ : % p.a. OR Dollar amount: \$ p.a.												
Adviser review fee	Percentage ¹ : % p.a. OR Dollar amount: \$ p.a.												

¹ If % based fee, a reasonable \$ estimate of fees to be paid from this account over the next 12 months must be provided:
The annual total cost of fees are estimated to be \$

Note: This will replace any existing ongoing or fixed term advice fee arrangement and must be at or below the maximum % p.a. allowable in the applicable PDS.

Part 4 - Fee type: Fixed term fee

I/We wish to (select one):
 Add a Fixed Term Fee arrangement
 Cancel an existing Fixed Term Fee arrangement

Start month² :

End month³ :

² Advice fees will be charged for the period starting 1st of the month (or first funded date, if later).
³ Advice fees will be charged until the last day of the selected month, unless cancelled earlier. The end date cannot be greater than 12 months from the date of the fee arrangement.

Fixed term fee type	Fee amount deducted monthly (incl. GST)												
Adviser service fee Tick to exclude assets from the adviser fee. Please list assets below:	Percentage: % p.a. AND/OR Dollar amount: \$ p.a. or Tiered ongoing fee: <table border="1"> <thead> <tr> <th>Tier</th> <th>Rate</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table> Please include cash balances in the linked Cash Operating Account (if this box is not ticked, cash balances in the Cash Operating Account will be excluded in the calculation of Ongoing Advice Fees)	Tier	Rate										
Tier	Rate												

Note: This may replace any existing ongoing or fixed term advice fee arrangement and must be at or below the maximum % p.a. allowable in the applicable PDS.

Part 5 - Account holder declaration and signature

By signing this form, I/we:

- confirm that the fees nominated in this form have been agreed between my/our financial adviser and me/us;
- confirm that the services for which the fees are being charged are consistent with the Statement of Advice and/or the Ongoing Service Agreement provided by my financial adviser;
- direct that the fees be deducted from the account specified in Part 1 and paid to my/our financial adviser or the adviser's dealer group, who will then pay the agreed fees to my/our financial adviser;
- acknowledge that if I/we have specified an ongoing adviser service fee or dealer group fee, Powerwrap will continue to pay the fee until I/we request cancellation of that fee;
- if signing under a Power of Attorney (POA) - verify that at the time of signing, the POA is valid and has not been revoked and the form can be signed by the Attorney; and
- the details I/we have provided are true and correct.

Signature of account holder 1

Date

Full name

Capacity (Individual, Director, Trustee, Attorney)

Signature of account holder 2

Date

Full name

Capacity (Individual, Director, Trustee, Attorney)

Signature of account holder 3

Date

Full name

Capacity (Individual, Director, Trustee, Attorney)

Signature of account holder 4

Date

Full name

Capacity (Individual, Director, Trustee, Attorney)

Part 6 - Financial adviser details and declaration

By signing this form, I declare that:

- I am authorised to sign on behalf of my dealer group and that I am authorised to provide financial product advice in relation to nominated products to the investor/member's account under an Australian Financial Services Licence.
- the fees nominated in this form have been agreed between my client the investor/member and me;
- the services for which the fees specified in this form are being charged are consistent with the Statement of Advice and/or the Ongoing Service Agreement that has been provided by me to my client the investor/member. I will provide the relevant documentation which may include, but is not limited to, the SOA, to Powerwrap, upon request;
- I acknowledge and agree that the amounts specified in this form may cease or vary at any time if requested by the investor/member, and I will pass on any notice to withdraw or vary consent by the client to Powerwrap within 10 business days; and
- the details I have provided are true and correct.

Signature of financial adviser

Date

Full name of financial adviser

Company/Dealer group

Financial adviser email

Contact phone number

Return this completed form and supporting documents to your Financial Adviser. Alternatively, return to us by post to: Powerwrap Client Services, PO Box 16071, Collins St West VIC 8007

This form to be used in respect of the Powerwrap Scheme ARSN 137 053 073, a Managed Investment Service issued by Powerwrap Limited ("Responsible Entity", "Powerwrap"). ABN 67 129 756 850 AFSL 329829.