ADVICE FEE AMENDMENT FORM – WHOLESALE (SMA)

præmium

This form is applicable for Wholesale Investors within the Separately Managed Accounts scheme. Please ensure that a Client Classification Form (which is used to declare on what basis a client has been classified as a Wholesale Investor) has also been completed and submitted.

All investors in Praemium Super and all other retail clients within the SMA must use the Advice Fee Amendment and Consent Form - Retail.

Part 1 - Account details

Account number

Account name

Contact phone number

Email address

Part 2- Fee amendment details

Please mark appropriate box. I/We wish to

☐ Authorise a **one-off advice fee** of $ (up to $5,000 in aggregate per financial year)

Note: This will **not affect** any existing ongoing adviser service fee, dealer group service fee or research fee.

☐ Change/add **ongoing fee(s)** as instructed below (includes GST).

Note: This will **replace** any existing ongoing adviser service fee, dealer group service fee or research fee arrangement already in place, and must be at or below the maximum % allowable in the applicable PDS.

Ongoing fee	Fee amount (calculated per annum and deducted monthly)
Adviser service fee	Percentage: % **AND/OR** Dollar amount: $
Dealer group service fee	Percentage: % **OR** Dollar amount: $
Research fee	Percentage: % **OR** Dollar amount: $
Contribution fee	Percentage: % **OR** Dollar amount: $

Part 3 - Account holder declaration and signature

By signing this form, I/we:

- confirm that the fees nominated in Part 2 have been agreed between my/our financial adviser and I/us;
- direct that the amount(s) specified in Part 2 be deducted from my/our SMA account and paid to the adviser's dealer group who will then pay the agreed fees to my/our financial adviser;
- acknowledge that if I/we have specified an ongoing adviser service fee or ongoing dealer service fee or ongoing dealer research fee be paid, Praemium will continue to pay the fee until I/we request cancellation of that fee; and
- if signing under a Power of Attorney (POA) - verify that at the time of signing, the POA is valid and has not been revoked.

Signature of account holder 1

Date

Name

Capacity/Position

Signature of account holder 2

Date

Name

Capacity/Position

Note: If signed under Power of Attorney (POA) please provide a certified copy of the POA when submitting.

Part 4 - Financial adviser details and declaration

By signing this form, I declare that:

- I am authorised to sign on behalf of my dealer group and that I am authorised to provide financial product advice in relation to nominated products to the investor/member's account under an Australian Financial Services Licence.
- the fees nominated in this form have been agreed between my client the investor/member and me;
- I acknowledge and agree that the amounts specified in this form may cease or vary at any time if requested by the investor/member, and I will pass on any notice to withdraw or vary amounts by the client to Praemium within 10 business days; and
- the details I have provided are true and correct.

Signature of financial adviser

Date

Full name of financial adviser

Company/Dealer group

Part 5 - Form instruction and guidance

- This form is to amend the Advice Fees on an SMA account for wholesale investors (only) as follow:
  - authorise a one-off advice fee to be deducted from an account to paid to their financial adviser being the nominated representative on the account.
  - authorise a change to or add an ongoing adviser fee, dealer group service fee and/or research fee to be deducted from an account and pay regular amount to the financial adviser and/or dealer.
- A separate form is to be completed for each account to which the fee amendment(s) relate.
- Any change to ongoing advice fees will be applied for the next month that follows the acceptance of the form.
- If Part 3 signed under POA, a certified copy of the POA must be provided along with the completed form.
- If you are requesting a one-off fee to be applied or making an increase to ongoing fees, then both the account holder and the financial adviser must sign this form.
- Upon the acceptance of the form, both you and your financial adviser will receive confirmation to the registered email address of any change in fees.
- Scan and email the completed form to support@praemium.com.au. Alternatively, the completed form can be returned via post to: SMA, PO Box 322, Collins St West 8007